



# Elucidating the roles of metallic Ni and oxygen vacancies in CO<sub>2</sub> hydrogenation over Ni/CeO<sub>2</sub> using isotope exchange and in situ measurements

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## ABSTRACT

Improvement in processes to convert CO<sub>2</sub> to value-added chemicals requires elucidation of catalytic mechanisms. Previous investigations of CO<sub>2</sub> reduction by hydrogen over CeO<sub>2</sub>-supported Ni catalysts suggested that the selectivity to CO and CH<sub>4</sub> was related to the Ni loading. In this work we utilized near ambient pressure X-ray photoelectron spectroscopy to confirm that Ni remained metallic under reaction conditions at different Ni loadings. The presence of partially reduced CeO<sub>2</sub> was detected, suggesting that oxygen from CO<sub>2</sub> did not re-oxidize the CeO<sub>x</sub>. Isotope exchange studies were performed using C<sup>18</sup>O<sub>2</sub> in a batch reactor equipped with Fourier transform infrared spectroscopy and mass spectrometry to determine the involvement of surface and lattice oxygen. Our results demonstrate that oxygen exchange with the CeO<sub>2</sub> support occurs beyond the surface layer under CO<sub>2</sub> hydrogenation conditions. Kinetic studies also revealed that the oxygen exchange rate is fast with respect to the CO<sub>2</sub> hydrogenation reaction rate and that the CeO<sub>2</sub> oxygen exchange mechanism is modified by Ni.

## 1. Introduction

The conversion of CO<sub>2</sub> to fuels and chemicals constitutes a means of managing carbon emissions. This scheme involves chemical conversion of on-site emissions or CO<sub>2</sub> captured directly from the air to close the carbon loop [1,2]. Waste CO<sub>2</sub> that is emitted to the atmosphere promotes deleterious climate change, including ocean acidification [3], extreme weather [4,5], and warming-associated events such as coral bleaching [6] and depletion of polar glaciers [7]. Chemically upgrading CO<sub>2</sub> with H<sub>2</sub> generated using renewable sources represents a pragmatic solution, leading to the formation of three types of molecules: CO, methanol, and hydrocarbons [8]. The reverse water gas shift (RWGS) reaction produces CO, which may be upgraded further to produce methanol and hydrocarbons including alkanes and olefins.

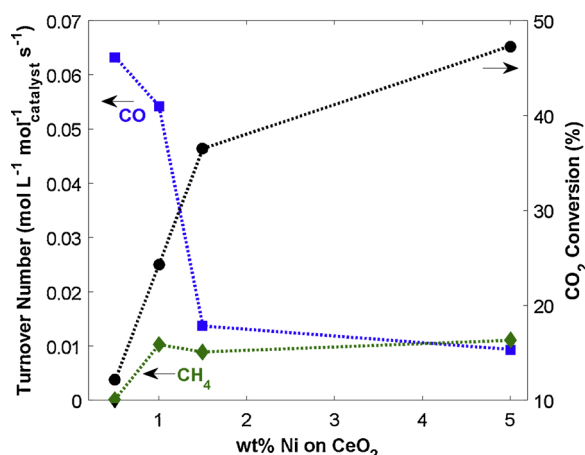
The catalytic pathways that control these processes must be elucidated and improved in order to implement this sustainable chemistry on a large scale. Nanoparticles supported on reducible metal oxides

have been employed as dual-functional catalysts: oxide supports provide oxygen vacancies to activate CO<sub>2</sub>, and metal active sites dissociate molecular hydrogen. Atomic hydrogen then spills over onto the support or onto interfacial sites to hydrogenate the adsorbed CO<sub>2</sub> [9–12]. Nickel supported on ceria is a non-precious, active catalyst for the reduction of CO<sub>2</sub> by hydrogen [13]. However, studies of Ni supported on CeO<sub>2</sub> have reported poor selectivity to CO at higher Ni loading, and low activity but good CO selectivity at very low Ni loading [14–19]. These trends are summarized in Fig. 1. It had been hypothesized that these trends were related to the different Ni oxidation states, where Ni was oxidized and even incorporated into the ceria lattice at low loading and existed as metallic clusters at higher loading [16–18,20]. Oxidized Ni also implies that CO<sub>2</sub> may adsorb on the Ni particles rather than exclusively on the support [21]. However, we recently reported in situ X-ray absorption near edge structure (XANES) data that revealed Ni to be metallic even for a low loading Ni catalyst (0.5 wt%) that was selective to produce CO [19]. The XANES data represent bulk averaged results,

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**Fig. 1.** Summary of activity and selectivity trends for CO<sub>2</sub> reduction by H<sub>2</sub> over Ni/CeO<sub>2</sub> catalysts with different Ni loadings. TON refers to the concentration of the product detected in the gas phase per active site of the given catalyst after a reaction time of 2 h in a batch reactor. The catalyst moles were determined using the catalyst mass and the CO uptake values reported previously for these catalysts [19].

though, rather than direct measurements of the surface, where catalytic reactions actually occur [22].

There has been debate in the literature regarding the correlation of Ni oxidation state and the CO<sub>2</sub> conversion selectivity. Using surface-sensitive in situ AP-XPS, the current study reveals that the oxidation state of Ni remains metallic in both CO-selective and CH<sub>4</sub>-selective Ni/CeO<sub>2</sub> catalysts. This result provides direct evidence that the Ni oxidation state at the surface of Ni/CeO<sub>2</sub> catalysts does not explain the selectivity differences. Another novel aspect of the current study is the oxidation state of Ce during CO<sub>2</sub> conversion. CeO<sub>2</sub> is known to undergo partial reduction in the presence of H<sub>2</sub>, but CeO<sub>2</sub> is also known to adsorb CO<sub>2</sub> and to facilitate C–O bond scission during catalytic CO<sub>2</sub> reduction by H<sub>2</sub> [23–25]. The combination of these potentially opposing effects, i.e., ceria partial reduction in the presence of H<sub>2</sub> and ceria re-oxidation by oxygen from CO<sub>2</sub> dissociation, has not been resolved previously during the reaction of CO<sub>2</sub> and H<sub>2</sub> over ceria-supported catalysts, to the best of our knowledge. The current study addresses the possibility of reduced ceria being re-oxidized by CO<sub>2</sub> using surface-sensitive AP-XPS measurements in H<sub>2</sub>, both with and without CO<sub>2</sub>. Since CeO<sub>x</sub> does not appear to be re-oxidized by CO<sub>2</sub> under the reaction conditions, isotope exchange experiments have been performed to characterize the dynamic adsorption of oxygen from C<sup>18</sup>O<sub>2</sub> by Ce<sup>16</sup>O<sub>x</sub> during the reaction of CO<sub>2</sub> and H<sub>2</sub>. These results provide complementary insights into the interactions between CO<sub>2</sub> and the ceria support during CO<sub>2</sub> hydrogenation over Ni/CeO<sub>2</sub>.

## 2. Experimental methods

### 2.1. Catalyst preparation

Catalysts were synthesized using incipient wetness impregnation (IWI) over commercial CeO<sub>2</sub> (35–45 m<sup>2</sup>/g, Sigma-Aldrich). Precursor salts of Ni(NO<sub>3</sub>)<sub>2</sub>·6H<sub>2</sub>O (Alfa Aesar) were dissolved in deionized water and the precursor solution was added drop-wise to the support powder. This mixture was then dried at 363 K for 12 h and calcined at 563 K for 2 h in air. Blank CeO<sub>2</sub> was obtained using the same IWI procedure with deionized water as the precursor solution. The Ni loading amounts were calculated using weight percent, referred to as percent in this paper.

### 2.2. Catalyst characterization

The surface area of the catalysts was determined by the multi-point

BET method using N<sub>2</sub> adsorption isotherms at 77 K (Quantachrome NOVA 2000). Experimental details and results for pulse CO chemisorption, high-resolution transmission electron microscopy, X-ray diffraction, and XANES have been reported elsewhere [19].

Raman spectroscopy was performed on a Bay Spec Raman spectrometer with an optical probe excited at 532 nm (diode pumped solid state green laser) equipped with a CCD detector. Prepared catalysts were stored in sealed vials prior to the measurements.

AP-XPS measurements were carried out at Brookhaven National Laboratory, using a SPECS Phoibos 150-NAP instrument, equipped with a Mg K-α X-ray anode, with an absolute energy resolution of ~0.25 eV for most regions. The catalyst samples were introduced into the analysis chamber under ultra-high vacuum conditions (10<sup>−9</sup> Torr) followed by pretreatment in 10 mTorr of O<sub>2</sub> at 700 K for 30 min to remove hydrocarbon contaminants. The catalysts were then reduced in 40 mTorr of H<sub>2</sub> at 723 K for 1 h. The samples were then cooled to room temperature in H<sub>2</sub>. The reaction was carried out under 50 mTorr with a ratio of 1 CO<sub>2</sub> : 3 H<sub>2</sub>. The temperature was held for 30 min each at 300 K, 400 K, 500 K, and 623 K, and scans were taken at each temperature.

### 2.3. Isotope exchange experiments

A batch reactor equipped with in situ FTIR spectroscopy and mass spectrometry was used to conduct catalytic CO<sub>2</sub> hydrogenation reactions. The experimental apparatus and sample preparation procedure have been reported previously [26]. Between 22–32 mg of catalyst were used, and the exact amount of each catalyst was weighed before loading the samples into the reaction chamber. The catalysts were reduced three times with 30.0 Torr of H<sub>2</sub> for 0.5 h at 723 K, and the reaction chamber was evacuated to below 6 × 10<sup>−7</sup> Torr between reductions. Following the reductions, CO<sub>2</sub> and H<sub>2</sub> were introduced into the reaction chamber at a total pressure of 13.0 Torr and a partial pressure ratio of 1 CO<sub>2</sub> : 3 H<sub>2</sub>. Concentrations of gas-phase species were monitored using a Thermo Nicolet Nexus 470 spectrometer equipped with a mercury cadmium telluride (MCT-A) detector as described previously [19,25]. The FTIR spectra of gas-phase species were recorded as a function of time at 623 K for 2 h. In order to identify whether the oxygen in the CO product was from the CO<sub>2</sub> reactant or the CeO<sub>2</sub> support, carbon dioxide with <sup>18</sup>O isotope (C<sup>18</sup>O<sub>2</sub>, Sigma-Aldrich 97 atom% <sup>18</sup>O) was reacted with H<sub>2</sub>. The time-resolved profiles of the gas-phase species were monitored using their characteristic vibrational modes.

Mass spectrometry measurements were obtained for pulses of the initial concentration of reactants and for the final gas mixture after the reaction. The reaction chamber was connected directly to the mass spectrometer and isolated by a valve. The data were collected using a quadrupole residual gas analyzer (Stanford Research Systems RGA 100). The percentages of the species were compared to known concentrations prepared in the gas handling system; the concentrations obtained from the RGA spectra for CO<sub>2</sub> and CO were in agreement with the as-prepared concentrations to within 5%. The species were monitored at unique *m/z* values for C<sup>18</sup>O<sub>2</sub> (48), C<sup>16</sup>O<sup>18</sup>O (46), C<sup>16</sup>O<sub>2</sub> (44), C<sup>18</sup>O (30), C<sup>16</sup>O (28), H<sub>2</sub>O (18), CH<sub>4</sub> (15), and H<sub>2</sub> (2), using appropriate sensitivity factors for the cracking patterns of the gases.

## 3. Results and discussion

### 3.1. Catalyst redox chemistry under reaction conditions

A comprehensive understanding of the mechanism of CO<sub>2</sub> hydrogenation over supported catalysts requires accurate determination of the oxidation states of the catalytic components under reaction conditions. For the reduction of CO<sub>2</sub> by H<sub>2</sub> over CeO<sub>2</sub>-supported Ni catalysts, there are discrepancies in the literature regarding the oxidation state of Ni and how it may control the reaction selectivity summarized in Fig. 1. Recently, results from in situ XANES measurements suggested that Ni remains predominantly metallic during the reaction [19]. However,

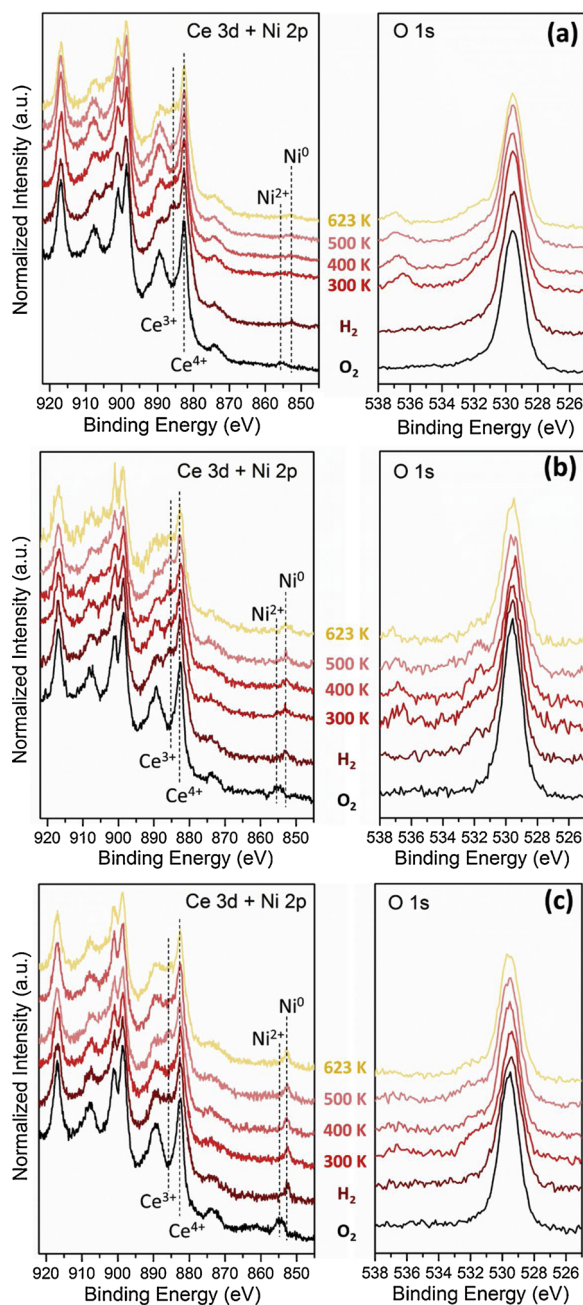


Fig. 2. In situ AP-XPS spectra during  $\text{H}_2$  reduction (40 mTorr) and the  $\text{CO}_2$  hydrogenation reaction (50 mTorr) over (a) 0.5%  $\text{Ni}/\text{CeO}_2$ , (b) 1.5%  $\text{Ni}/\text{CeO}_2$ , and (c) 5%  $\text{Ni}/\text{CeO}_2$ . Signals from  $\text{Ce}^{4+}$ ,  $\text{Ce}^{3+}$ ,  $\text{Ni}^{2+}$ , and  $\text{Ni}^0$  are indicated.

XANES is a bulk averaging technique and might not adequately reveal the surface properties. In order to investigate the oxidation state of Ni at the surface of the catalyst to explain the  $\text{CO}_2$  hydrogenation trends in Fig. 1, AP-XPS was employed for this catalytic system.

The  $\text{CeO}_2$ -supported catalysts with varied Ni loadings (0.5%, 1.5%, and 5%) were characterized using AP-XPS under reduction and reaction conditions. The results from the Ce 3d, Ni 2p and O 1s regions are provided in Fig. 2. For all three catalysts, there was a prominent  $\text{Ni}^{2+}$  peak under  $\text{O}_2$  (10 mTorr) at 723 K, without the appearance of the  $\text{Ni}^0$  peak. Under the reduction conditions of 723 K in  $\text{H}_2$  (40 mTorr), the  $\text{Ni}^{2+}$  peak disappeared, and a  $\text{Ni}^0$  peak formed; the intensity of this peak became stronger with higher Ni loading. Under the reaction conditions of  $\text{CO}_2$  and  $\text{H}_2$  (50 mTorr), the metallic Ni peak remained at all temperatures, and no  $\text{Ni}^{2+}$  peak was observed. These results confirm that surface Ni remains metallic under  $\text{CO}_2$  hydrogenation reaction

conditions; variation in Ni oxidation state with Ni loading cannot account for the trends in reaction selectivity observed for  $\text{CO}_2$  hydrogenation over  $\text{Ni}/\text{CeO}_2$  catalysts.

The AP-XPS results suggest that the  $\text{CeO}_x$  support plays a central role in oxygen abstraction from  $\text{CO}_2$ . For all three catalysts,  $\text{Ce}^{4+}$  was reduced to a mixture of  $\text{Ce}^{3+}$  and  $\text{Ce}^{4+}$  under reducing conditions. Upon exposure to  $\text{CO}_2$  and  $\text{H}_2$ , this mixture of Ce oxidation states was maintained for all temperatures, and Ce was not re-oxidized. Together, these results reveal that Ce is not fully oxidized during the reaction, consistent with the hypothesis that partially reduced ceria plays a central role in  $\text{CO}_2$  activation.

### 3.2. Oxygen exchange under reaction conditions

#### 3.2.1. Qualitative exchange trends from in situ FTIR spectroscopy

The involvement of the  $\text{CeO}_x$  support in the reaction was investigated using  $\text{C}^{18}\text{O}_2$  isotope gas in order to trace the origin of oxygen-containing products, with  $^{18}\text{O}$  originating from  $\text{C}^{18}\text{O}_2$  and  $^{16}\text{O}$  from the  $\text{Ce}^{16}\text{O}_x$  support.

The inert, irreducible oxide  $\text{SiO}_2$  was employed as a control. The FTIR spectra of the gas phase during the reaction of  $\text{CO}_2 + \text{H}_2$  over 1.5%  $\text{Ni}/\text{SiO}_2$  are presented in Fig. 3 in the region of the stretching frequencies for  $\text{C}^{18}\text{O}_2$  at 2308 and 2325  $\text{cm}^{-1}$  with combination bands at 3510, 3539, 3653 and 3625  $\text{cm}^{-1}$ , and for  $\text{C}^{18}\text{O}$  at 2075 and 2118  $\text{cm}^{-1}$ . There were no  $^{16}\text{O}$ -containing species detected in these spectra, consistent with the conclusion that Ni and NiO alone – in the absence of  $\text{CeO}_2$  – are not responsible for  $\text{CO}_2$  adsorption and oxygen exchange.

The  $\text{CO}_2$  hydrogenation reaction was carried out over  $\text{CeO}_2$ -supported catalysts with 0%, 0.5%, 1.5%, and 5% Ni loadings. The regions of the IR spectra containing  $\text{CO}_2$  and CO signatures are presented for all four catalysts in Fig. 4. Spectra are included for selected time points during the reaction.

The blank ceria catalyst showed no activity toward the  $\text{CO}_2$  hydrogenation reaction, as confirmed by the absence of the CO product in the spectra in Fig. 4a. This catalyst did show oxygen exchange activity: at the beginning of the reaction, peaks for the dosed reactant  $\text{C}^{18}\text{O}_2$  were observed at 2308 and 2325  $\text{cm}^{-1}$  with the corresponding combination bands in the region between 3510 and 3625  $\text{cm}^{-1}$ . Shortly after the initial dose, a third peak rapidly appeared at 2337  $\text{cm}^{-1}$  with combination bands at 3583 and 3689  $\text{cm}^{-1}$ , indicating the presence of  $\text{C}^{16}\text{O}^{18}\text{O}$ . A shoulder on the higher wavenumber side of these peaks suggested the presence of a small amount of  $\text{C}^{16}\text{O}_2$  (2357  $\text{cm}^{-1}$ ), with a small combination band at 3729  $\text{cm}^{-1}$ . After 115 min, the  $\text{C}^{18}\text{O}_2$  peak had decreased slightly with a simultaneous increase in the  $\text{C}^{16}\text{O}^{18}\text{O}$  peak.

The isotope exchange trends in the  $\text{CO}_2$  peaks became more pronounced when the reaction was carried out over 0.5%  $\text{Ni}/\text{CeO}_2$ . The  $\text{C}^{18}\text{O}_2$  peak at 2308  $\text{cm}^{-1}$  and its combination bands decreased steadily throughout the reaction (Fig. 4b). The  $\text{C}^{16}\text{O}^{18}\text{O}$  peak at 2337  $\text{cm}^{-1}$  and the corresponding combination modes increased rapidly, remained fairly constant, and then decreased slightly as this gas species was further consumed in the reaction. This catalyst was active for the  $\text{CO}_2$  hydrogenation reaction, producing CO,  $\text{H}_2\text{O}$ , and small amounts of  $\text{CH}_4$  [19]. Both  $\text{C}^{18}\text{O}$  (2075  $\text{cm}^{-1}$ ) and  $\text{C}^{16}\text{O}$  (2158  $\text{cm}^{-1}$ ) were observed in similar amounts throughout the reaction, with overlapping peaks around 2118  $\text{cm}^{-1}$ . Peaks for  $\text{CH}_4$  are not shown since this species does not participate directly in oxygen exchange, and  $\text{H}_2\text{O}$  peaks are not shown due to interference from baseline fluctuations.

The activity and the selectivity toward methanation instead of toward the RWGS reaction pathway increase with the Ni loading [19]. Fig. 4c–d show rapid increases in the  $\text{C}^{16}\text{O}^{18}\text{O}$  peak over 1.5% and 5%  $\text{Ni}/\text{CeO}_2$ , followed by decreases in both  $\text{C}^{16}\text{O}^{18}\text{O}$  and  $\text{C}^{18}\text{O}_2$  peaks. The very broad  $\text{CO}_2$  peak for the spectra at 115 min suggested the presence of the  $\text{C}^{16}\text{O}_2$  peak at 2357  $\text{cm}^{-1}$ . The poor spectral quality in the combination band region was due to significant overlap with  $\text{H}_2\text{O}$



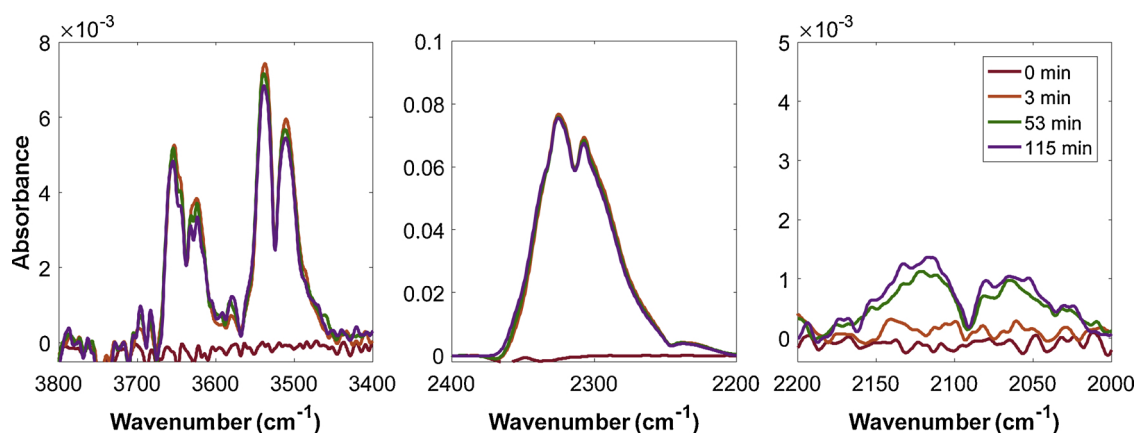


Fig. 3. Time-resolved FTIR spectra of the gas phase during CO<sub>2</sub> hydrogenation over 1.5% Ni/SiO<sub>2</sub>, with a total pressure of 13.0 Torr and a partial pressure ratio of 1 CO<sub>2</sub> : 3 H<sub>2</sub>.

peaks, where these more active catalysts produced much more H<sub>2</sub>O than the 0.5% Ni/CeO<sub>2</sub> and blank CeO<sub>2</sub> catalysts.

### 3.2.2. Quantitative exchange trends from mass spectrometry

The amount of oxygen donated from the support to the gas phase for varying wt% Ni on CeO<sub>2</sub> was quantified by measuring the concentrations of <sup>16</sup>O-containing gases using mass spectrometry. Quantification using the FTIR spectra was insufficient due to the large extent of overlap in the 2000–3000 cm<sup>−1</sup> region from the stretching modes of the CO<sub>2</sub> and CO isotopes. Although the combination peaks are better resolved, this spectral region was not useful for quantitative analysis due to the overlap with water peaks from the reactions over the more active 1.5% and 5% Ni/CeO<sub>2</sub> catalysts. Therefore, the gas mixture at the end of the reaction was introduced into a mass spectrometer. The total amount of oxygen donated to the gas phase was determined by calibrating the volume of the reaction chamber and by relating the mass spectrometry intensity to the total pressure in the reaction chamber; the moles of gas were then summed as one <sup>16</sup>O from C<sup>16</sup>O<sup>18</sup>O and from C<sup>16</sup>O, and two from C<sup>16</sup>O<sub>2</sub> to determine the total gas-phase moles of <sup>16</sup>O.

In order to calculate the amount of oxygen exchanged with the support, the amount of surface oxygen and bulk oxygen contained in each catalyst sample were calculated as follows:

$$\text{Surface oxygen atoms} = \frac{1 \text{ O atom}}{(5.34 \text{ \AA})^2} \times \frac{10^{20} \text{ \AA}^2}{\text{m}^2} \times \frac{1}{N_A} \times S_{\text{BET}} \times f_{\text{ceria}} m_{\text{cat}} \quad (\text{E1})$$

$$\text{Bulk oxygen atoms} = \frac{1 \text{ mol CeO}_2}{172.115 \text{ g}} \times \frac{2 \text{ mol of O atoms}}{1 \text{ mol CeO}_2} \times f_{\text{ceria}} m_{\text{cat}} \quad (\text{E2})$$

where the CeO<sub>2</sub> lattice parameter is 5.34 Å,  $N_A$  is Avogadro's constant,  $S_{\text{BET}}$  is the BET surface area,  $f_{\text{ceria}}$  is the percentage of CeO<sub>2</sub> in the sample (excluding Ni), and  $m_{\text{cat}}$  is the mass of the catalyst. The BET surface area was measured to be 49.1 m<sup>2</sup> g<sup>−1</sup> for CeO<sub>2</sub> and 42.4 m<sup>2</sup> g<sup>−1</sup> for 5% Ni/CeO<sub>2</sub>; these values were linearly interpolated to obtain surface areas for the 0.5% and 1.5% Ni/CeO<sub>2</sub> catalysts.

The calculated amounts of surface and bulk oxygen, as well as the <sup>16</sup>O-containing gas-phase products, are reported in Table 1. For all four catalysts, the amount of <sup>16</sup>O in the gas phase after reaction exceeded the amount of surface oxygen atoms on CeO<sub>2</sub>, derived from BET measurements. For the blank CeO<sub>2</sub> catalyst, 57.6% of the oxygen contained in the bulk sample was exchanged. A similar amount was exchanged for 0.5% Ni/CeO<sub>2</sub>. For the catalysts with higher Ni loading, which had higher methanation activity, the percentage of oxygen exchanged was lower by about 10%. The lower exchange amount may be due to the higher methanation activity, where CO<sub>2</sub> is converted to CH<sub>4</sub> rather than to oxygen-containing species. Since methanation produces twice as

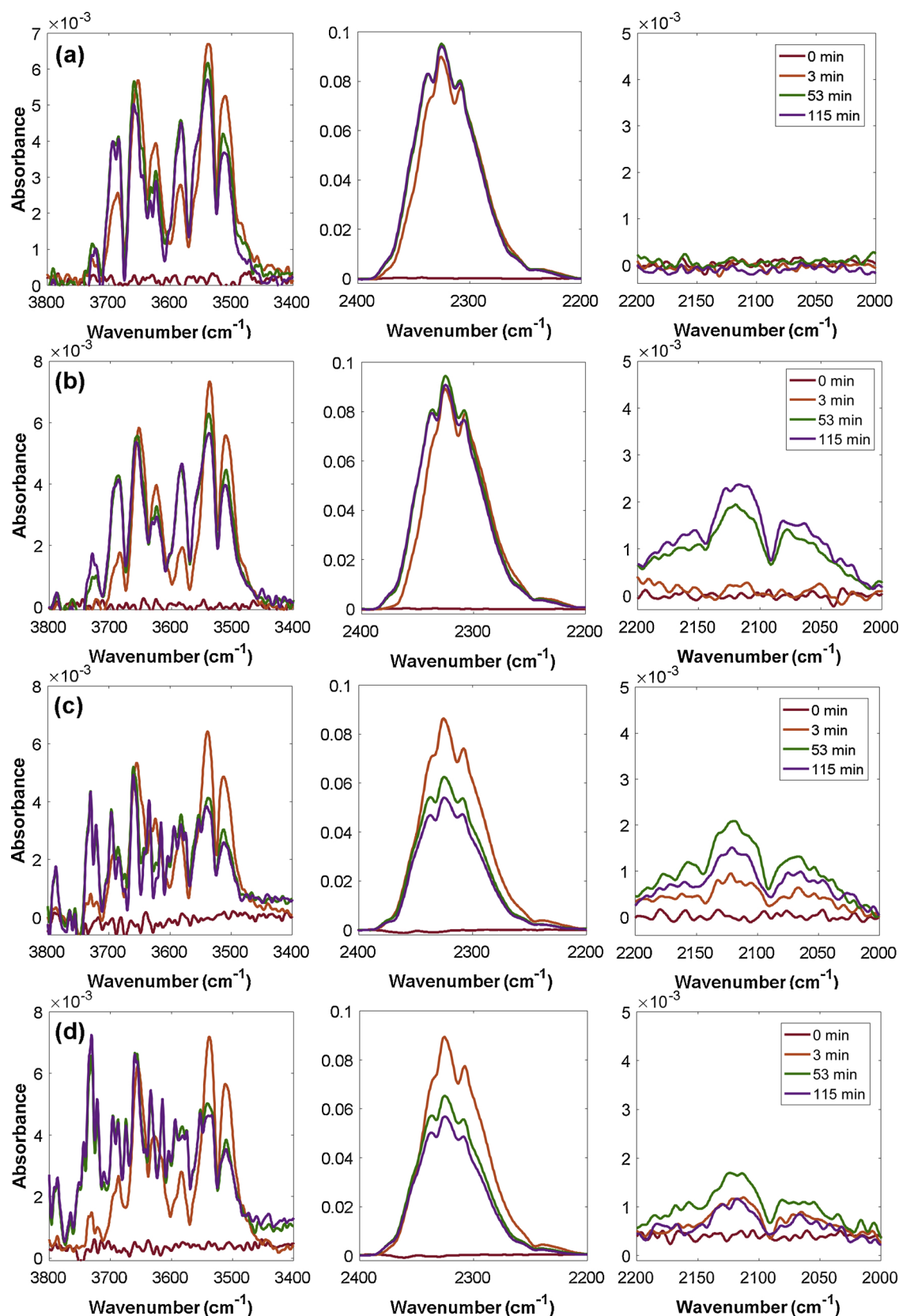
much water as the RWGS reaction on a CO<sub>2</sub>-basis, the water product may contain a higher percentage of exchanged oxygen for the catalysts with higher Ni loading. The isotope content in water was not taken into account due to uncertainties in reliably deconvoluting peaks in the water region. The exclusion of water from the analysis may account for the lower exchanged oxygen amount for the catalysts with higher Ni loading.

To our knowledge, these results provide the first characterization of the extent of CeO<sub>2</sub> oxygen exchange in the presence of H<sub>2</sub>. Several previous studies have described CeO<sub>2</sub> oxygen exchange with O<sub>2</sub> and CO<sub>2</sub> gases [27–34]. For example, a C<sup>18</sup>O<sub>2</sub> pulsing and exchange study by Bueno-Lopez et al. reported a distribution of isotope CO<sub>2</sub> species at 623 K of about 40% C<sup>18</sup>O<sub>2</sub>, 43% C<sup>16</sup>O<sup>18</sup>O, and 17% C<sup>16</sup>O<sub>2</sub> (quantities were determined by the authors of the current work through digitization of plotted data reported by Bueno-Lopez et al.) [33]. By comparison, the distribution of the CO<sub>2</sub> isotopes (after 115 min) in the current work over blank CeO<sub>2</sub> was estimated as 39% C<sup>18</sup>O<sub>2</sub>, 52% C<sup>16</sup>O<sup>18</sup>O, and 8.2% C<sup>16</sup>O<sub>2</sub>. These quantities were determined using relative intensities of C=O combination bands for each CO<sub>2</sub> isotope, since these bands were well-separated for the reaction over blank CeO<sub>2</sub>, and it was assumed that spectroscopic extinction factors among the isotope species were comparable. The total exchange amount over blank CeO<sub>2</sub> was 6690 μmol g<sup>−1</sup>. By comparison, Martin and Duprez reported 37.7 at nm<sup>−2</sup> (or 124.3 μmol g<sup>−1</sup> by our calculations) of oxygen exchanged with CeO<sub>2</sub> using O<sub>2</sub> below 673 K [28]. Based on calculated diffusivity for oxygen in CeO<sub>2</sub>-ZrO<sub>2</sub>-La<sub>2</sub>O<sub>3</sub>, Sadovskaya et al. reported a theoretical oxygen exchange amount of 14,945 μmol g<sup>−1</sup> at 973 K. Based on these comparisons, oxygen exchange may be enhanced by the presence of H<sub>2</sub>, and the overall isotope exchange occurred well beyond the surface layer of CeO<sub>2</sub> for all catalysts under the CO<sub>2</sub> and H<sub>2</sub> reaction conditions.

Since the amount of oxygen exchanged was substantial, Raman spectroscopy was employed to provide direct physical measurement of bulk oxygen exchange. The fresh CeO<sub>2</sub> catalyst and the spent CeO<sub>2</sub> catalyst from the C<sup>18</sup>O<sub>2</sub> + H<sub>2</sub> reaction were examined. Fig. 5 shows the Raman active Ce-O F<sub>2g</sub>/T<sub>2g</sub> mode representing the symmetric breathing mode of oxygen atoms in the fluorite structure. The spent CeO<sub>2</sub> sample was red shifted (~15 cm<sup>−1</sup>), confirming the presence of C<sup>18</sup>O<sub>2</sub> species resulting from the isotope exchange of C<sup>18</sup>O<sub>2</sub> with the Ce<sup>16</sup>O<sub>2</sub> support.

### 3.2.3. Oxygen exchange mechanisms and effect of Ni loading

Insights into the mechanism of oxygen exchange with the CeO<sub>2</sub> support and the effect of Ni loading on CeO<sub>2</sub> in the context of the CO<sub>2</sub> hydrogenation reaction may be attained via kinetic analysis of the oxygen-containing species. This analysis was performed using time-resolved in situ FTIR spectroscopic data. The evolution of the isotopes of CO<sub>2</sub> over time for CeO<sub>2</sub> and for 0.5% Ni/CeO<sub>2</sub> are plotted in Fig. 6a–b. The curves are based on the peaks that appear as the C=O combination



**Fig. 4.** Time-resolved FTIR spectra of the gas phase during CO<sub>2</sub> hydrogenation over (a) blank CeO<sub>2</sub>, (b) 0.5% Ni/CeO<sub>2</sub>, (c) 1.5% Ni/CeO<sub>2</sub>, and (d) 5% Ni/CeO<sub>2</sub>, with a total pressure of 13.0 Torr and a partial pressure ratio of 1 CO<sub>2</sub> : 3 H<sub>2</sub>.

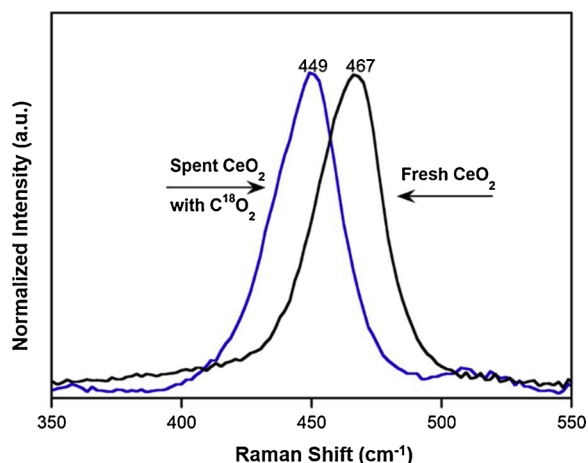
bands for each CO<sub>2</sub> isotope (C<sup>18</sup>O<sub>2</sub> at 3510 cm<sup>-1</sup>, C<sup>16</sup>O<sup>18</sup>O at 3583 cm<sup>-1</sup>, and C<sup>16</sup>O<sub>2</sub> at 3729 cm<sup>-1</sup>), since these peaks are well-separated with respect to the C=O asymmetric stretching bands around

2300 cm<sup>-1</sup>. These curves are not available for the 1.5% and 5% Ni/CeO<sub>2</sub> catalysts since the large amount of water formed by the reaction over these catalysts produced substantial interference in this region of

**Table 1**

Oxygen exchange results based on calculated support oxygen amounts and estimated support-derived oxygen detected in the gas phase using mass spectrometry.

| Catalyst                | Catalyst mass (mg) | Surface oxygen atoms in sample ( $\mu\text{mol}$ ) | Total oxygen atoms in sample ( $\mu\text{mol}$ ) | $^{16}\text{O}$ in gas after 115 min ( $\mu\text{mol}$ ) | % of catalyst oxygen exchanged ( $^{16}\text{O}_{\text{gas}}/^{16}\text{O}_{\text{sample}}$ ) | Amount exchanged ( $\mu\text{mol } \text{CeO}_2^{-1}$ ) |
|-------------------------|--------------------|--|--|--|---|---|
| $\text{CeO}_2$          | 25.3               | 7.23   | 294  | 169  | 57.6%   | 6690  |
| 0.5% Ni/ $\text{CeO}_2$ | 26.1               | 7.32   | 301  | 175  | 57.9%   | 6730  |
| 1.5% Ni/ $\text{CeO}_2$ | 32.1               | 8.67   | 367  | 175  | 47.5%   | 5520  |
| 5% Ni/ $\text{CeO}_2$   | 22.5               | 5.28   | 248  | 114  | 45.7%   | 5310  |

**Fig. 5.** Raman spectroscopy results for a fresh  $\text{CeO}_2$  sample and a sample of  $\text{CeO}_2$  used in the reaction of  $\text{C}^{18}\text{O}_2 + \text{H}_2$ .

the IR spectrum. Therefore, the qualitative exchange kinetics were compared for blank  $\text{CeO}_2$  and for 0.5% Ni/ $\text{CeO}_2$  only.

The same isotope exchange sequence was observed from the temporal curves in the batch reactor for both blank and 0.5% Ni-doped  $\text{CeO}_2$ : the reactant  $\text{C}^{18}\text{O}_2$  was consumed rapidly, with the consumption rate decreasing over time; the mixed isotope  $\text{C}^{16}\text{O}^{18}\text{O}$  increased and reached a constant equilibrium value after about 40 min; and  $\text{C}^{16}\text{O}_2$  formed more slowly and in smaller amounts, with a decreasing formation rate over time. Oxygen exchange with the support is characterized by three possible mechanisms: 1) homoexchange without participation of support oxygen atoms, 2) simple heteroexchange between one oxygen atom of a gas-phase molecule and one oxygen atom of the oxide support, and 3) multiple heteroexchange between an oxygen-containing gas-phase molecule and at least two oxygen atoms of the support [28,32,35,36]. The results in Fig. 6a–b suggest that oxygen was exchanged by both simple and multiple heteroexchange

mechanisms. The exchange appeared to occur in two steps, where one oxygen atom in  $\text{CO}_2$  was exchanged rapidly, and exchange of the second oxygen atom occurred subsequently for some of the singly-exchanged  $\text{C}^{16}\text{O}^{18}\text{O}$  molecules.

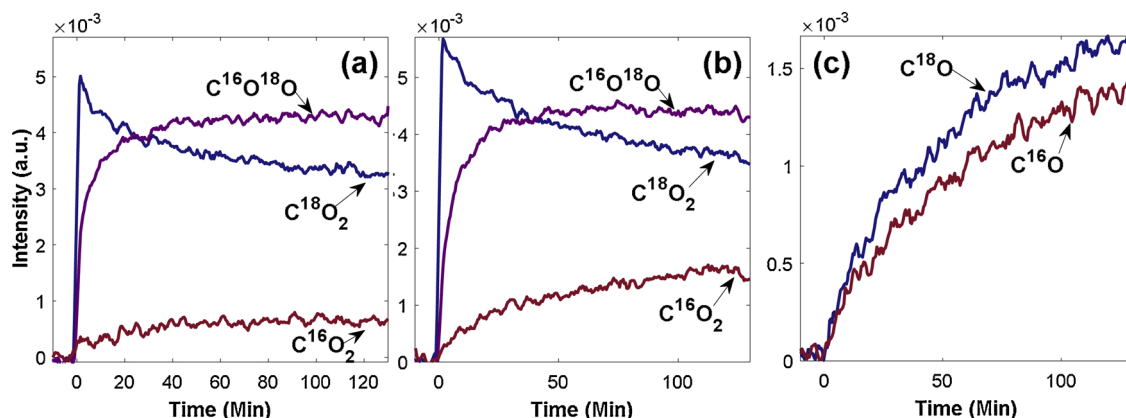
The differences in the curves between the 0.5% Ni/ $\text{CeO}_2$  and the blank  $\text{CeO}_2$  catalysts provide insight into the role of Ni. A significantly larger amount of  $\text{C}^{16}\text{O}_2$  was formed over Ni/ $\text{CeO}_2$  than over blank  $\text{CeO}_2$ . These results suggest that multiple heteroexchange is promoted by the presence of Ni on  $\text{CeO}_2$  with respect to the blank support. Ni promotes  $\text{H}_2$  dissociation and should contribute to more facile carbon-oxygen bond breaking. Even though the total oxygen exchange amount was similar for blank  $\text{CeO}_2$  and for Ni/ $\text{CeO}_2$  (see Table 1), the relative amounts of support-derived, oxygen-containing gas phase products were modified by the addition of Ni.

Fig. 6c shows that CO produced in the reaction over 0.5% Ni/ $\text{CeO}_2$  contained both oxygen isotopes. The  $\text{C}^{16}\text{O}$  and  $\text{C}^{18}\text{O}$  production curves closely overlap at low conversion (within the first 20 min). Since the production curves for  $\text{C}^{16}\text{O}$  and  $\text{C}^{18}\text{O}$  followed a similar trend, these results suggest that oxygen exchange was fast with respect to the  $\text{CO}_2$  hydrogenation rate.

#### 4. Conclusions

Based on the results discussed above we reveal the complex roles and interplay of Ni and  $\text{CeO}_x$  during the  $\text{CO}_2$  hydrogenation reaction. The following conclusions can be made regarding the role of Ni oxidation state and oxygen isotope exchange with blank and Ni-doped  $\text{CeO}_2$  in  $\text{CO}_2$  hydrogenation:

- 1) Ni oxidation state is metallic under  $\text{CO}_2$  hydrogenation reaction conditions. Surface-sensitive in situ AP-XPS measurements confirm that Ni remains metallic throughout the reaction for all Ni loadings (0.5%–5% Ni). The Ni oxidation state alone does not explain changes in the product distribution with varied Ni loading on  $\text{CeO}_2$ .
- 2) Substantial oxygen exchange occurs between gas-phase species and the ceria support under  $\text{CO}_2$  hydrogenation reaction conditions.

**Fig. 6.** The evolution of  $\text{CO}_2$  isotope peaks over time during the reaction of  $\text{C}^{18}\text{O}_2$  and  $\text{H}_2$  over (a) blank  $\text{CeO}_2$  and (b) 0.5% Ni/ $\text{CeO}_2$ . The separable peaks for each isotope were monitored for  $\text{C}^{18}\text{O}_2$  ( $3510\text{ cm}^{-1}$ ),  $\text{C}^{16}\text{O}^{18}\text{O}$  ( $3583\text{ cm}^{-1}$ ), and  $\text{C}^{16}\text{O}_2$  ( $3729\text{ cm}^{-1}$ ). (c) The evolution of CO isotope gas concentrations (monitored for  $\text{C}^{18}\text{O}$  at  $2070\text{ cm}^{-1}$  and for  $\text{C}^{16}\text{O}$  at  $2170\text{ cm}^{-1}$ ) over time during the reaction of  $\text{C}^{18}\text{O}_2$  and  $\text{H}_2$  over 0.5% Ni/ $\text{CeO}_2$ .

$C^{18}O_2$  isotope studies using FTIR spectroscopy and mass spectrometry in a batch reactor reveal that oxygen exchange with the support occurs well beyond the surface layer, even in a hydrogen-rich atmosphere. The subsurface oxygen in  $CeO_x$  participates in the isotope exchange.

- 3 The predominant oxygen exchange mechanism over  $CeO_2$  and Ni/ $CeO_2$  catalysts is simple heteroexchange, and multiple heteroexchange also occurs. The addition of metallic Ni to  $CeO_2$  enhances multiple heteroexchange as well as dissociation to CO with exchanged oxygen under  $CO_2$  hydrogenation conditions. Kinetic analysis reveals that the rate of oxygen exchange is fast with respect to the  $CO_2$  hydrogenation reaction rate.

## Declarations of interest

None.

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## Appendix A. Supplementary data

Supplementary material related to this article can be found, in the online version, at doi:<https://doi.org/10.1016/j.apcatb.2018.12.069>.

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